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| Safeguarding Adults Policy and Procedures | | |
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Equality, Diversity and Inclusion (EDI)

Equality, Diversity and Inclusion (EDI) ensures fair treatment and opportunity for all. It aims to eradicate prejudice and discrimination on the basis of an individual or group of individuals’ protected characteristics.

BaseballSoftballUK takes seriously its role in recognising and removing any barriers faced by people involved or wanting to be involved in our sports, in any capacity, to ensure the culture of our sports are improved to one that values diversity and enables the full involvement of disadvantaged groups in every aspect of our sports.

(please see the Equality, Diversity and Inclusion (EDI) policy for further detail.)

**BaseballSoftball*UK* Safeguarding Adults Policy and Procedures**

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**Introduction**

BaseballSoftball*UK* is committed to creating and maintaining a safe and positive environment for all adults involved in baseball and softball in accordance with the Care Act 2014, and we accept our responsibility to safeguard their welfare. BaseballSoftball*UK*’s Safeguarding Adults Policy and Procedures applies to all individuals involved in baseball and softball.

BaseballSoftball*UK* will encourage and support our partner organisations the British Softball Federation (BSF) and British Baseball Federation (BBF), as well as clubs, leagues, suppliers and sponsors to adopt and demonstrate their commitment to the principles and practices set out in this document.

**Do you have concerns about an adult?**

Safeguarding is everyone’s responsibility.

If you have concerns about an adult’s safety and or wellbeing you must act on them.

It is not your responsibility to decide whether an adult has been abused. It is, however, your responsibility to act on any concerns.

You identify a concern about possible or alleged abuse, poor practice or wider welfare issues.

Does the person need immediate medical attention?

Seek medical attention on site or contact emergency services on 999.

What does the adult want to happen? Include their views throughout the process.

Speak to your Club Safeguarding Officer or BaseballSoftball*UK*’s

Lead Safeguarding Officer and report your concerns.

Make notes, complete an Incident Report Form, and submit to Club Safeguarding Officer or BaseballSoftball*UK*’s Lead Safeguarding Officer.

Yes

No

1. **Principles**

The guidance given in this document is based on the following principles:

* All adults, regardless of age, ability or disability, gender, race, religion, ethnic origin, sexual orientation or marital or gender status have the right to be protected from abuse and poor practice and to participate in sport in an enjoyable and safe environment.
* BaseballSoftball*UK* will seek to ensure that our sport is inclusive and make reasonable adjustments for any ability, disability or impairment. We will also commit to continuous development, monitoring and review of our policies and practice in this area.
* The rights, dignity and worth of all adults will always be respected.
* We recognise that ability and disability can change over time, making some adults additionally vulnerable to abuse, particularly those adults with care and support needs.
* We all have a shared responsibility to ensure the safety and well-being of all adults playing our sports and to act appropriately and report concerns, whether these arise within a baseball/softball environment -- for example, inappropriate behaviour on the part of a coach -- or in the wider community.
* All allegations will be taken seriously and responded to quickly in line with BaseballSoftball*UK*’s Safeguarding Adults Policy and Procedures.
* BaseballSoftball*UK* recognises the role and responsibilities of the statutory agencies in safeguarding adults and is committed to complying with the procedures of Local Authority Safeguarding Adults Boards.

The Care Act 2014 sets out the following six principles that should underpin the safeguarding of adults:

* **Empowerment** -- People being supported and encouraged to make their own decisions and give informed consent.

*“I am asked what I want as the outcomes from the safeguarding process and these directly inform what happens.”*

* **Prevention** – It is better to take action before harm occurs.

*“I receive clear and simple information about what abuse is, how to recognise the signs and what I can do to seek help.”*

* **Proportionality** – The least intrusive response appropriate to the risk presented.

*“I am sure that the professionals will work in my interests as I see them and that they will only get involved as much as needed.”*

* **Protection** – Support and representation for those in greatest need.

*“I get help and support to report abuse and neglect. I get help so that I am able to take part in the safeguarding process to the extent that I want.”*

* **Partnership** – Local solutions through services working with their communities. Communities have a part to play in preventing, detecting and reporting neglect and abuse.

*“I know that staff treat any personal and sensitive information in confidence, only sharing what is helpful and necessary. I am confident that professionals will work together and with me to get the best result for me.”*

* **Accountability** – Accountability and transparency in delivering safeguarding.

*“I understand the role of everyone involved in my life and so do they.”*

**Making safeguarding personal**

‘Making safeguarding personal’ means that adult safeguarding should be person-led and outcome-focused. It engages the person in a conversation about how best to respond to their safeguarding situation in a way that enhances involvement, choice and control, as well as improving quality of life, wellbeing and safety.

Wherever possible, discuss safeguarding concerns with the adult to get their view of what they would like to happen and keep them involved in the safeguarding process, seeking their consent to share information outside the organisation where necessary.

**Wellbeing principle**

The concept of wellbeing is threaded throughout the Care Act and is relevant to adult safeguarding in sport and activity. Wellbeing is different for each of us; however, the Act sets out broad categories that can contribute to our sense of wellbeing. By keeping the themes below in mind, we can ensure that adult participants can take part in baseball and softball fully.

* Personal dignity (including treatment of the individual with respect).
* Physical and mental health and emotional wellbeing.
* Protection from abuse and neglect.
* Control by the individual over their day-to-day life (including over care and support and the way they are provided).
* Participation in work, education, training or recreation.
* Social and economic wellbeing.
* Domestic, family and personal domains.
* Suitability of the individual’s living accommodation.
* The individual’s contribution to society.

1. **Legislation**

The practices and procedures within this policy are based on principles contained in UK legislation and government guidance and have been developed to complement the Safeguarding Adults Boards’ policies and procedures. They take the following into consideration:

* The Care Act 2014
* The Protection of Freedoms Act 2012
* Domestic Violence, Crime and Victims (Amendment) Act 2012
* The Equality Act 2010
* The Safeguarding Vulnerable Groups Act 2006
* Mental Capacity Act 2005
* Sexual Offences Act 2003
* The Human Rights Act 1998
* The Data Protection Act 2018

1. **Definitions**

To assist in working through and understanding this document, a number of key definitions need to be explained:

**Adult** is anyone aged 18 or over.

**Adult at Risk** is a person aged 18 or over who:

* Has needs for care and support (whether or not the Local Authority is meeting any of those needs).
* Is experiencing, or is at risk of, abuse or neglect.
* As a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of, abuse or neglect.

**Adult in need of care and support**.This is determined by a range of factors including personal characteristics, factors associated with their situation or environmental and social factors. Naturally, a person’s disability or frailty does not mean that they will inevitably experience harm or abuse.

In the context of safeguarding adults, the likelihood of an adult in need of care and support experiencing harm or abuse should be determined by considering a range of social, environmental and clinical factors, not merely because they may be defined by one or more of the above descriptors.

In recent years there has been a marked shift away from using the term ‘vulnerable’ to describe adults potentially at risk from harm or abuse.

**Abuse** is a violation of an individual’s human and civil rights by another person or persons.

See Section 4 for further explanations.

Adult safeguarding is protecting a person’s right to live in safety, free from abuse and neglect.

‘Capacity’ refers to the ability to make a decision at a particular time, for example, when under considerable stress. The starting assumption must always be that a person has the capacity to make a decision unless it can be established that they lack capacity (MCA 2005). See Appendix 2 for more information.

1. **Types of abuse and neglect**

There are different types and patterns of abuse and neglect and different circumstances in

which they may take place. The Care Act 2014 identifies the following as a guide, but this is not intended to be an exhaustive list as to the sort of behaviour which could give rise to a safeguarding concern.

**Self-neglect** – This covers a wide range of behaviour: neglecting to care for one’s personal hygiene, health or surroundings and includes behaviour such as hoarding.

**Modern slavery** – This encompasses slavery, human trafficking, forced labour and domestic servitude. Traffickers and slave masters use whatever means they have at their disposal to coerce, deceive and force individuals into a life of abuse, servitude and inhumane treatment.

**Domestic abuse and coercive control** – This includes psychological, physical, sexual, financial and emotional abuse. It also includes so-called 'honour-based’ violence. It can occur between any family members.

**Discriminatory abuse** – Discriminationis abuse which centres on a difference or perceived difference, particularly with respect to race, gender or disability or any other protected characteristics of the Equality Act.

**Organisational abuse** – This includes neglect and poor care practice within an institution or a specific care setting such as a hospital or care home, or in relation to care provided in one’s own home. This may range from one-off incidents to on-going ill-treatment. It can occur through neglect or poor professional practice resulting from the structure, policies, processes and practices within an organisation.

**Physical abuse** – This can include hitting, slapping, pushing, kicking, misuse of medication, restraint or inappropriate sanctions.

**Sexual abuse** – This can include rape, indecent exposure, sexual harassment, inappropriate looking or touching, sexual teasing or innuendo, sexual photography, subjection to pornography or witnessing sexual acts, indecent exposure and sexual assault or sexual acts to which the adult has not consented or was pressured into giving consent.

**Financial or material abuse** – This can include theft, fraud, internet scamming, coercion in relation to an adult’s financial affairs or arrangements (including in connection with wills, property, inheritance or financial transactions) or the misuse or misappropriation of property, possessions or benefits.

**Neglect** – This can include ignoring medical or physical care needs, failure to provide access to appropriate health, social care or educational services or the withholding of the necessities of life, such as medication, adequate nutrition and heating.

**Emotional or psychological abuse** – This can include threats of harm or abandonment,

deprivation of contact, humiliation, blaming, controlling, intimidation, coercion, harassment, verbal abuse, isolation or withdrawal from services or support networks.

Not included in the Care Act 2014 but also relevant are:

**Cyber bullying** – Cyber bullying occurs when someone repeatedly makes fun of another person online, repeatedly picks on another person through emails or text messages or uses online forums with the intention of harming, damaging, humiliating or isolating another person. It can be used to carry out many different types of bullying (such as racist bullying, homophobic bullying, or bullying related to special educational needs and disabilities) but instead of the perpetrator carrying out the bullying face-to-face, they use technology as a means to do it.

**Forced marriage** – Forced marriage is a term used to describe a marriage in which one or both of the parties are married without their consent or against their will. A forced marriage differs from an arranged marriage, in which both parties consent to the assistance of a third party in identifying a spouse. The Anti-Social Behaviour, Crime and Policing Act 2014 makes it a criminal offence to force someone to marry. The forced marriage of adults with learning disabilities occurs when the adult does not have the capacity to consent to the marriage.

**Mate crime** – A ‘mate crime’ as defined by the Safety Net Project is when ‘vulnerable people are befriended by members of the community who go on to exploit and take advantage of them. It may not be an illegal act but still has a negative effect on the individual.’ Mate crime is carried out by someone the adult knows and often happens in private. In recent years there have been a number of Serious Case Reviews relating to people with a learning disability who were murdered or seriously harmed by people who purported to be their friend.

**Radicalisation** – The aim of radicalisation is to attract people to a particular line of reasoning, inspire new recruits, embed extreme views and persuade vulnerable individuals of the legitimacy of a cause. This may be carried out directly through a relationship or through social media.

1. **Signs and indicators of abuse and neglect**

Abuse can take place in any context and by all manner of perpetrators. Abuse may be inflicted by anyone in a sports club that an athlete comes into contact with, or club members, workers, volunteers or coaches may suspect that an athlete is being abused or neglected outside the club setting. There are many signs and indicators that may suggest someone is being abused or neglected. These include but are not limited to:

* Unexplained bruises or injuries, or lack of medical attention when an injury is present.
* The person has belongings or money go missing.
* The person is not attending / no longer enjoying their sessions. You may notice that a participant in a team has been missing from practice sessions and is not responding to reminders from team members or coaches.
* Someone losing or gaining weight or presenting an unkempt appearance. This could be a player whose appearance becomes unkempt, does not wear suitable sports kit and is displaying a deterioration in hygiene.
* A change in the behaviour or confidence of a person. For example, a participant may be looking quiet and withdrawn when their brother comes to collect them from a session, in contrast to their personal assistant whom they greet with a smile.
* They may self-harm.
* They may have a fear of a particular group or individual.
* They may tell you / another person they are being abused – i.e. a disclosure.
* Harassment of a club member because they have or are perceived to have protected characteristics.
* Not meeting the needs of the participant, such as forcing them to train without a necessary break.
* A coach intentionally striking an athlete.
* A fellow athlete sending unwanted sexually explicit text messages to a learning-disabled adult they are training alongside.
* An athlete threatening another athlete with physical harm and persistently blaming them for poor performance.

1. **What to do if you have a concern or if someone raises concerns with you**

* It is not your responsibility to decide whether an adult has been abused. It is, however, everyone's responsibility to respond to and report concerns.
* If you are concerned someone is in immediate danger, contact the police on 999 straightaway. Where you suspect that a crime is being committed, you must involve the police.
* If you have concerns and / or you are told aboutpossible or alleged abuse, poor practice or wider welfare issues, you must report this to BaseballSoftball*UK*’s Lead Safeguarding Officer, or, if the Lead Safeguarding Officer is implicated, to the BaseballSoftball*UK* CEO.
* When raising your concern with the Club Safeguarding Officer or Lead Safeguarding Officer, remember the principle of ‘making safeguarding personal’. It is good practice to seek the adult’s views on what they would like to happen next and to inform the adult that you will be passing on your concern.
* It is important when considering your concern that you keep the adult informed about any decisions and actions taken about them and always consider their needs and wishes.

1. **How to respond to a concern**

* Stay calm and listen carefully.
* Make a note of your concerns.
* Don’t ask leading question but feel able to clarify what has been said.
* Offer reassurance – they’ve done the right thing by approaching you.
* Make a note of what the person has said using his or her own words as soon as practicable. Complete an Incident Form and submit to the BaseballSoftball*UK* Lead Safeguarding Officer.
* Remember to make safeguarding personal. Discuss your safeguarding concerns with the adult and obtain their view of what they would like to happen -- but inform them that it’s your duty to pass on your concerns to your Lead Safeguarding Officer.
* Describe the circumstances in which the disclosure came about.
* Take care to distinguish between fact, observation, allegation and opinion. It is important that the information you present is accurate.
* Be mindful of the need to be confidential at all times. Information must only be shared with your Lead Safeguarding Officer and others on a need-to-know basis.
* If the matter is urgent and relates to the immediate safety of an adult at risk, then contact the emergency services immediately.

1. **Safeguarding Adults Flowchart**

Dealing with concerns, suspicions or disclosure:

Remember to involve the adult at risk throughout the process wherever possible and gain consent for any referrals to social care if the person has capacity.

Inform BSUK’sLead Safeguarding Officer. Make notes and complete an Incident Report Form. Submit the form to the Lead Safeguarding Officer.

There are concerns / suspicions about a person’s behaviour.  
OR  
There has been disclosure or an allegation about a person’s behaviour.

What are your concerns regarding?

Adult safeguarding

Yes

Investigated by Lead Safeguarding Officer / CEO with the support of the Case Management Group.

* Call an ambulance.
* Tell the doctor that there may be a safeguarding issue.
* Call the police.

Do you need to take action to ensure the immediate safety or medical welfare of the adult?

Is the Lead Safeguarding Officer implicated?

Poor practice

Yes

No

The Lead Safeguarding Officer will follow BSUK policy in conjunction with local Multi-Agency Safeguarding Adults Policy and Procedures, with possible referral to Police / Adult Social Care / Multi-Agency Safeguarding Hub / Local Safeguarding Adults Board.

Possible outcomes:

* Criminal proceedings.
* Police enquiry.
* Adult Care Safeguarding Assessment.
* Disciplinary measures.
* Case Management Group decides on the management of any remaining concerns.
* No further action.

Inform the CEO.

Make notes, complete an Incident Report Form and submit to the CEO.

Allocate a person in the organisation to investigate.

No

Inform the Lead Safeguarding Officer. Make notes, complete an Incident Report Form, and submit to the Lead Safeguarding Officer.

Is the Lead Safeguarding Officer implicated?

Yes

No

1. **Roles and responsibilities of those within BaseballSoftball*UK***

BaseballSoftball*UK* is committed to having the following in place:

* A Lead Safeguarding Officer to produce and disseminate guidance and resources to support its Safeguarding Adults Policy and Procedures.
* A clear line of accountability within the organisation for work on promoting the welfare of all adults.
* Procedures for dealing with allegations of abuse or poor practice against members of staff and volunteers.
* A Steering Group, Case Management or Case Referral Group that effectively deals with issues, manages concerns and refers to a disciplinary panel where necessary (i.e. where concerns arise about the behaviour of someone within BaseballSoftball*UK*)**.**
* A Disciplinary Panel that will be formed as required for a given incident if appropriate.
* Arrangements to work effectively with other organisations to safeguard and promote the welfare of adults, including arrangements for sharing information.
* Appropriate whistle-blowing procedures and an open and inclusive culture that enables safeguarding and equality and diversity issues to be addressed.
* Clear codes of conduct for coaches, participants, officials, spectators and other relevant individuals.

1. **Good practice, poor practice and abuse**

**Introduction**

It can be difficult to distinguish poor practice from abuse, whether intentional or accidental. It is not the responsibility of any individual involved in BaseballSoftball*UK* to make judgments regarding whether abuse is taking place; however, all BaseballSoftball*UK* personnel have the responsibility to recognise and identify poor practice and potential abuse and act on this if they have concerns.

**Good practice**

BaseballSoftball*UK* expects that that coaches of adult athletes will:

* Adopt and endorse the BaseballSoftball*UK*Coaches Codes of Conduct.
* Have completed a course in basic awareness in working with and safeguarding adults.

**Everyone should:**

* Aim to make the experience of playing baseball and softball fun and enjoyable.
* Promote fairness and playing by the rules.
* Not tolerate the use of prohibited or illegal substances.
* Treat all adults equally and preserve their dignity; this includes giving more and less-talented members of a group similar attention, time and respect.

**Poor practice**

The following are regarded as poor practice and should be avoided:

* Unnecessarily spending excessive amounts of time alone with an individual adult.
* Engaging in rough, physical or sexually provocative games, including horseplay.
* Allowing or engaging in inappropriate touching of any form.
* Using language that might be regarded as inappropriate by the adult and which may be hurtful or disrespectful.
* Making sexually suggestive comments, even in jest.
* Reducing an adult to tears as a form of control.
* Letting allegations made by an adult go un-investigated, unrecorded, or not acted upon.
* Taking an adult at risk alone in a car on journeys, however short.
* Inviting or taking an adult at risk to your home or office where they will be alone with you.
* Sharing a room with an adult at risk.
* Doing things of a personal nature that adults at risk can do for themselves.

If, during your care, an adult at risk suffers any injury, seems distressed in any manner, appears to be sexually aroused by your actions, or misunderstands/misinterprets something you have done, report these incidents as soon as possible to another adult in the organisation and make a brief written note of it.

**Relevant policies**

This policy should be read in conjunction with the other BaseballSoftball*UK* policies including those on:

* Whistle-blowing.
* Social media
* Complaints
* Disciplinary actions and procedures
* Equality, Diversity and Inclusion (EDI) Policy

1. **Further information**

Policies, procedures and supporting information are available on the BaseballSoftball*UK* website**:** <http://www.baseballsoftballuk.com/>.

**Lead Safeguarding Officer:**

Mark Caress

0207 453 7055

[welfare@bsuk.com](mailto:welfare@bsuk.com)

**Review date.**

This policy will be reviewed every two years or sooner in the event of legislative changes or revisions to policy and best practice.

**Appendix 1**

**Incident Report Form**

**Safeguarding Adults Incident Form**

This form is to be completed as fully as possible if you have concerns regarding an adult. It is important to inform the adult about your concerns and that you have a duty to pass the information on to the BaseballSoftball*UK* Lead Safeguarding Officer. The Safeguarding Officer will then look at the information and start to plan a course of action in conjunction with yourself, the adult involved and if necessary social care or other relevant organisations.

|  |  |
| --- | --- |
| **Section 1 – Details of adult at risk** | |
| Name of adult |  |
| Address |  |
| Date of birth |  |
| Age if date of birth not  known |  |
| GP practice (if known) |  |
| Contact number |  |
|  |  |
| **Section 2 – Your details** | |
| Name |  |
| Contact phone number(s) |  |
| Email address |  |
| Line manager or alternative.  contact |  |
| Name of organisation / club |  |
| Your role in organisation |  |
|  | |
| **Section 3 – Details of concern** | |
| Detail what you have seen / been told or other information that makes you believe the adult at risk is being abused or is at risk of abuse (include dates / times / evidence from records / photos etc.). | |

|  |  |  |  |
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| **Section 4 - Abuse type(s) – please tick as many as you feel may apply** | | | |
| Physical | Psychological | Financial | |
| Sexual | Discriminatory | Organisational (formerly  institutional) | |
| Neglect | Hate incident / crime | Mate crime | |
| Internet abuse | Modern slavery | Female genital mutilation  (FGM) | |
| Forced marriage | Domestic abuse | Radicalisation | |
| Self-neglect |  |  | |
|  |  |  | |
| **Section 5 - Have you discussed your concerns with the adult? What are their views and what outcomes have they stated they want (if any)?** | | | |
|  | | | |
| **Section 5A – Reasons for not discussing with the adult** | | | |
| Adult lacks capacity | | |  |
| Adult unable to communicate their views | | |  |
| Discussion would increase the risk | | |  |
| State why the risks would increase | | | |
| **Section 5B -- Have you discussed your concerns with anyone else (e.g. carer / parent)? If so, what are their views?** | | | |
|  | | | |

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| --- | --- |
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| **Section 6 – What action have you taken / agreed with the adult to reduce the risks?** | |
| The information was passed to Safeguarding Officer.  Confirm details: | Referral to Social Care. Confirm details: |
| Contact with the police. Confirm details: | Referral to other agency.  Confirm details: |
| Other (please specify). | |
| No action agreed – state why. | |
| **Section 7 – Risk to others** | |
| Are any other adults at risk? Yes / No – delete as appropriate. | |
| If yes, state why and what actions have been taken to address the risk. | |
| Are any children at risk? Yes / No -- delete as appropriate. | |
| If yes, state why and what actions have been taken to address the risk. | |
| **Signed:** | |
| **Date:** | |

|  |
| --- |
| **OFFICE USE ONLY** |
|  |
| **Section 8 – Sharing the concerns (to be completed by Lead Safeguarding Officer)** |
| Details of your contact with the adult at risk. Have they consented to information being shared outside BaseballSoftball*UK*? |
| Details of contact with the Social Care Team where the adult at risk lives – advice can be still sought without giving personal details if you do not have consent for a referral. |
| Details of any other agencies contacted. |
| Details of the outcome of this concern. |

**Appendix 2**

**Guidance and information**

**Making Safeguarding Personal**

There has recently been a cultural shift towards ‘making safeguarding personal’ within the safeguarding process. This is a move away from prioritising outcomes demanded by bureaucratic systems.

The safeguarding process used to involve gathering a detailed account of what happened and determining who did what to whom. Now the outcomes are defined by the person at the centre of the safeguarding process, and the safeguarding process places a stronger emphasis on achieving satisfactory outcomes that take into account the individual choices and requirements of everyone involved.

*“What good is it making someone safer if it merely makes them miserable?”* – Lord Justice Mundy, *What Price Dignity?* (2010)

What this means in practice is that adults should be more involved in the safeguarding process. Their views, wishes, feelings and beliefs must be taken into account when decisions are made.

The Care Act 2014 builds on this concept, stating that, “We all have different preferences, histories, circumstances and lifestyles so it is unhelpful to prescribe a process that must be followed whenever a concern is raised.”

However, the Act is also clear that there are key issues that should be taken into account when abuse or neglect are suspected, and that there should be clear guidelines regarding this.

(<https://www.local.gov.uk/topics/social-care-health-and-integration/adult-social-care/making-safeguarding-personal>)

**Capacity – guidance on making decisions.**

The issue of capacity or decision-making is a key one in safeguarding adults, and it is useful for organisations to have an overview of the concept of capacity.

We make many decisions every day, often without realising it. We make so many decisions that it’s easy to take this ability for granted.

But some people are only able to make some decisions, and a small number of people cannot make any decisions. Being unable to make a decision is called “lacking capacity”.

To make a decision we need to:

* Understand information.
* Remember it for long enough.
* Think about the information.
* Communicate our decision.

A person’s ability to do this may be affected by things like learning disability, dementia, mental health needs, acquired brain injury and physical ill-health.

**Understanding the Mental Capacity Act**

The Mental Capacity Act 2005 (MCA) states that every individual has the right to make their own decisions and provides the framework for this to happen.

The MCA is about making sure that people over the age of 16 have the support they need to make as many decisions as possible.

The MCA also protects people who need family, friends or paid support staff to make decisions for them because they lack the capacity to make specific decisions.

Our ability to make decisions can change over the course of a day**.**

Here are some examples that demonstrate how the timing of a question can affect the response:

* A person with epilepsy may not be able to make a decision following a seizure.
* Someone who is anxious may not be able to make a decision at that point.
* A person may not be able to respond as quickly if they have just taken medication that causes fatigue.

In each of these examples, it may appear as though the person cannot make a decision. But later in the day, presented with the same decision, they may be able to at least be involved.

The MCA recognises that capacity is decision-specific, so no one will be labelled as entirely lacking capacity. The MCA also recognises that decisions can be about big life-changing events, such as where to live, but equally about small events such as what to wear on a cold day.

To help you to understand the MCA, consider the following five points:

1. Assume that people are able to make decisions unless it is shown that they are not. If you have concerns about a person’s level of understanding, you should check this with them, and if applicable, with the people supporting them.
2. Give people as much support as they need to make decisions. You may be involved in this: you might need to think about the way you communicate or provide information, and you may be asked your opinion.
3. People have the right to make unwise decisions. The important thing is that they understand the implications. If they understand the implications, consider how risks might be minimised.
4. If someone is not able to make a decision, then the person helping them must only make decisions in their ‘best interests’. This means that the decision must be what is best for the person, not for anyone else. If someone was making a decision on your behalf, you would want it to reflect the decision you would make if you were able to.
5. Find the least restrictive way of doing what needs to be done.

Remember:

* You should not discriminate or make assumptions about someone’s ability to make decisions, and you should not pre-emptively make a ‘best-interest’ decision merely on the basis of a person’s age, appearance, condition or behaviour.
* When it comes to decision-making, you could be involved in a minor way, or asked to provide more detail. The way you provide information might influence a person’s ultimate decision. A person may be receiving support that is not in line with the MCA, so you must be prepared to address this.

**Consent and information-sharing.**

Workers and volunteers within sports and physical activity organisations should always share safeguarding concerns in line with their organisation’s policy, usually with their Lead Safeguarding Officer or Welfare Officer in the first instance -- except in emergency situations. As long as it does not increase the risk to the individual, the worker or volunteer should explain to them that it is their duty to share their concern with their Lead Safeguarding Officer or Welfare Officer.

The Lead Safeguarding Officer or Welfare Officer will then consider the situation and plan the actions that need to be taken, in conjunction with the adult at risk and in line with the organisation’s policy and procedures and the Local Authority Safeguarding Adults Board’s policy and procedures.

To make an adult safeguarding referral you need to call the local Safeguarding Adults Team. This may be part of a MASH (Multi-Agency Safeguarding Hub). A conversation can be had with the Safeguarding Adults Team without disclosing the identity of the person in the first instance. If it is thought that a referral needs to be made to the Safeguarding Adults Team, consent should be sought where possible from the adult at risk.

Individuals may not give their consent to the sharing of safeguarding information with the Safeguarding Adults Team for a number of reasons. Reassurance, appropriate support and revisiting the issues at another time may help to change their view on whether it is best to share.

information.

If they still do not consent, then their wishes should usually be respected. However, there are circumstances where information can be shared without consent, such as when the adult does not have the capacity to consent, or when it is in the public interest because it may affect other people, or a serious crime has been committed. This should always be discussed with your Lead Safeguarding Officer and the Local Authority Safeguarding Adults Team.

If someone does not want you to share information outside the organisation or you do not have consent to share the information, ask yourself the following questions:

* Is the adult placing themselves at further risk of harm?
* Is someone else likely to get hurt?
* Has a criminal offence occurred? This can include theft or burglary of items, physical abuse, sexual abuse, forcing someone to give extra money for lessons (financial abuse) or harassment.
* Is there suspicion that a crime has occurred?

If the answer to any of the questions above is ‘yes’, then you can share without consent and you need to share the information.

When sharing information there are six golden rules that should always be followed:

1. Seek advice if in any doubt.

1. Be transparent. Remember that the purpose of the Data Protection Act (DPA) is to ensure personal information is shared appropriately, except in circumstances whereby doing so may place the person or others at significant harm.
2. Consider the public interest. Base all decisions to share information on the safety and well-being of the person concerned or others that may be affected by their actions.
3. Share with consent where appropriate. Where possible, respond to the wishes of those who do not consent to share confidential information. You may still share information without consent if this is in the public interest.
4. Keep a record. Record your decision and your reasons to share or not share information.
5. Accurate, necessary, proportionate, relevant and secure: ensure all information shared is accurate, up-to-date and necessary and share it only with those who need to have it.

**Appendix 3**

**Legislation and government initiatives**

**Sexual Offences Act 2003**<http://www.legislation.gov.uk/ukpga/2003/42/contents>

The Sexual Offences Act introduced a number of new offences concerning vulnerable adults and children. [www.opsi.gov.uk](http://www.opsi.gov.uk)

**Mental Capacity Act 2005**<http://www.legislation.gov.uk/ukpga/2005/9/introduction>

The general principle of the Act is that everyone has capacity unless it is proved otherwise, that they should be supported to make their own decisions, that anything done for or on behalf of people without capacity must be in their best interests and that there should be least restrictive intervention.

[www.dca.gov.uk](http://www.dca.gov.uk)

**Safeguarding Vulnerable Groups Act 2006**<http://www.legislation.gov.uk/ukpga/2006/47/contents>

This Act introduced the new Vetting and Barring Scheme and the role of the Independent Safeguarding Authority. The Act places a statutory duty on all those working with vulnerable groups to register and undergo an advanced vetting process with criminal sanctions for non-compliance.

[www.opsi.gov.uk](http://www.opsi.gov.uk)

**Deprivation of Liberty Safeguards**<https://www.gov.uk/government/collections/dh-mental-capacity-act-2005-deprivation-of-liberty-safeguards>

This was a section introduced into the Mental Capacity Act 2005 and it came into force in April 2009. It was designed to provide appropriate safeguards for vulnerable people who have a mental disorder and lack the capacity to consent to the arrangements made for their care or treatment, and who may be deprived of their liberty in their best interests in order to protect them from harm.

**Disclosure & Barring Service 2013**<https://www.gov.uk/government/organisations/disclosure-and-barring-service/about>

How employers or organisations can request criminal records checks on potential employees from the Disclosure and Barring Service (DBS).

[www.gov.uk/dbs-update-service](http://www.gov.uk/dbs-update-service)

**The Care Act 2014 – Statutory Guidance**<http://www.legislation.gov.uk/ukpga/2014/23/introduction/enacted>

The Care Act introduces new responsibilities for Local Authorities. It also has major implications for adult care and support providers, people who use services, carers and advocates. It puts adult safeguarding on a statutory footing.

**Making Safeguarding Personal Guide 2014**

<http://www.local.gov.uk/documents/10180/5852661/Making+Safeguarding+Personal+-+Guide+2014/4213d016-2732-40d4-bbc0-d0d8639ef0df>

This guide is intended to support Local Authorities and their partners to develop outcomes-focused, person-centred safeguarding practices.

**Appendix 4**

**Useful contacts**

**BaseballSoftball*UK* Lead Safeguarding Office**r:

Mark Caress

Telephone: 020 7453 7055

Email: [welfare@bsuk.com](mailto:welfare@bsuk.com)

**Ann Craft Trust**: **Safeguarding Adults in Sport and Activity:**

Telephone: 0115 951 5400

Email: [Ann-Craft-Trust@nottingham.ac.uk](mailto:Ann-Craft-Trust@nottingham.ac.uk)

Website: [www.anncrafttrust.org](http://www.anncrafttrust.org)

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| --- | --- | --- | --- |
| Policy Last reviewed | Reviewed By (Consultant) | Approved by | Next review date |
| Feb 24 | Katherine Bates |  | Feb 26 |